



Fee(s) pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

PTO/SB/17 (07-06)
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FEE TRANSMITTAL for FY 2008

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) **180.00**

Complete if Known	
Application Number	10/533,978
Filing Date	05/05/2005
First Named Inventor	BROERMAN, KEITH ROBERT, et al.
Examiner Name	TRAN, Quoc Duc
Art Unit	2614
Attorney Docket No.	PU020453

METHOD OF PAYMENT (check all that apply) CUSTOMER NUMBER 24498

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Under 37 CFR 1.16 and 1.17

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		
	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Small Entity	Fee (\$)	Fees Paid (\$)
Utility	310	155	510	255	200	105	_____
Design	210	105	100	50	130	65	_____
Plant	210	105	310	155	160	80	_____
Reissue	310	155	510	255	620	310	_____
Provisional	210	105	0	0	0	0	_____

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

Small Entity

Fee (\$) Fee (\$)

50 25

Each independent claim over 3 (including Reissues)

210 105

Multiple dependent claims

370 185

Total Claims

Extra Claims

Fee (\$)

Fee Paid (\$)

Multiple Dependent Claims

Fee (\$) Fee Paid (\$)

— 20 or HP= X = _____

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims

Extra Claims

Fee (\$)

Fee Paid (\$)

— 3 or HP= X = _____

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets **Extra Sheets** **Number of each additional 50 or fraction thereof** **Fee (\$)** **Fee Paid (\$)**
 — 100 = / 50 = (round up to a whole number) x = _____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge) : IDS

180.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	40,677	Telephone	609-734-6815
Name (Print/Type)	Paul P. Kiel			Date	3/12/09

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : BROERMAN, Keith Robert, et al.
Serial No. : 10/533,978
Filed : May 5, 2005
For : METHOD AND APPARATUS FOR GENERATING
AND PLAYING DIAGNOSTIC MESSAGES INDICATIVE
OF MTA PROVISIONING STATUS
Examiner : TRAN, Quoc Duc
Art Unit : 2614

INFORMATION DISCLOSURE STATEMENT

[] 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
[] 2 Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
[X] 3 Pursuant to 37 CFR 1.97(d)
[after Final Office Action or Allowance, but prior to payment of issue fee]

Mail Stop: AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:
The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

[X] 4 A list of documents on form PTO/SB08a and/or PTO/SB08b together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

[] 5 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
[] 6 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
[] (a) The required certification made in item 8(a) below; **OR**
[] (b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission
of this Information Disclosure Statement is authorized in
item 9 below.
[X] 7 37 CFR 1.97(d): [after Final Office Action or Allowance, but prior to payment of issue fee]; and
[] (a) The required certification made in item 8(a) below; **AND**
[X] (b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission
of this Information Disclosure Statement is authorized in
item 9 below.

[] 8 Certification

[] (a) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

[] (b) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[X] 9 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully submitted,

Keith Robert Broerman, et al.

BY:



Paul P. Kiel, Attorney
Registration No. 40,677
(609) 734-6815

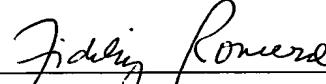
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Date: 3-13-09

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Fideliz Romero